REMARKS:

Claims 1-20 are currently pending in the subject Application.

Claims 11 and 20 stand rejected under 35 U.S.C. § 101.

Claims 1-6, 10-16, 19, and 20 stand rejected under 35 U.S.C. § 102(b) over U.S.

Patent No. 6,012,046 to Lupien et al. ("Lupien").

Claims 7-9, 17, and 18 stand rejected under 35 U.S.C. § 103(a) over Lupien in view

of U.S. Patent No. 6,408,282 to Buist ("Buist").

REJECTION UNDER 35 U.S.C. § 101:

Claims 11 and 20 stand rejected under 35 U.S.C. § 101 as allegedly being directed

towards non-statutory subject matter.

Specifically, the Examiner asserts that "Claims 11 and 20 fail to recite a computer

software that is embodied on a computer-readable medium." (11 December 2006 Office

Action, Page 2). The Applicant respectfully disagrees and respectfully traverses the

Examiner's rejection of Claims 11 and 20 under 35 U.S.C. § 101...

In fact, the Applicant respectfully directs the Examiner's attention to independent

Claim 11:

Software for generating a display of at least one side of a market,

the displayed side of the market comprising one or more offers from one or more market participants, each offer comprising at least an offered

price and an offered quantity, the software embodied in a computer-

readable medium and when executed operable to:

receive market data reflecting the one or more offers;

generate a display of the received market data, the display

comprising a price axis, a quantity axis, and one or more offer bars, each offer bar representing one or more offers comprising substantially equal

offered prices, placement of an offer bar along the price axis representing the substantially equal offered prices for one or more offers represented

by the offer bar, height of an offer bar relative to the quantity axis

representing an aggregate offered quantity for the one or more offers

represented by the offer bar;

access an average price specified by a user;

calculate an offered quantity available at the specified average

offered price based on the received market data; and

indicate the calculated offered quantity within the display of the

received market data. (Emphasis Added).

Independent Claim 20 also recites "software embodied in a computer-readable

medium".

As noted above, independent Claims 11 and 20 do in fact recite "software

embodied in a computer-readable medium", and is therefore directed to statutory

subject matter. Therefore, since independent Claims 11 and 20 recite "software

embodied in a computer-readable medium", independent Claims 11 and 20 do in fact

recite "computer software that is embodied on a computer-readable medium" as disputed

by the Examiner.

For at least these reasons, the Applicant respectfully submits that independent

Claims 11 and 20 are directed to statutory subject matter. The Applicant further

respectfully submits that independent Claims 11 and 20 are in condition for allowance.

Thus, the Applicant respectfully requests that the rejection of Claims 11 and 20 under 35

U.S.C. § 101 be reconsidered and that Claims 11 and 20 be allowed.

REJECTION UNDER 35 U.S.C. § 102(b):

Claims 1-6, 10-16, 19, and 20 stand rejected under 35 U.S.C. § 102(b) over *Lupien*.

The Applicant respectfully submits that Lupien fails to disclose each and every

limitation recited by claims 2-11, 13-21, and 23-31. The Applicants further submit that

claims 2-11, 13-21, and 23-31 patentably distinguish over *Lupien*. Thus, the Applicant

respectfully traverse the Examiners rejection of Claims 1-32 under 35 U.S.C. § 102(b) over

Lupien.

Response to Office Action Attorney Docket No. 020431.0912 Serial No. 09/970,052 Page 16 of 30 Lupien Fails to Disclose, Teach, or Suggest Various Limitations Recited in Applicant's Claims

For example, with respect to independent Claim 1, this claim recites:

A system for generating a display of at least one side of a market, the displayed side of the market comprising one or more offers from one or more market participants, each offer comprising at least an offered price and an offered quantity, the system comprising a computer system having one or more computers and operable to:

receive market data reflecting the one or more offers;

generate a display of the received market data, the display comprising a price axis, a quantity axis, and one or more offer bars, each offer bar representing one or more offers comprising substantially equal offered prices, placement of an offer bar along the price axis representing the substantially equal offered prices for the one or more offers represented by the offer bar, height of an offer bar relative to the quantity axis represented by the offer bar;

access an average price specified by a user;

calculate an offered quantity available at the specified average price based on the received market data; and

indicate the calculated offered quantity within the display of the received market data. (Emphasis Added).

Independent Claims 10-14, 19, and 20 recite similar limitations. *Lupien* fails to disclose each and every limitation of independent Claims 1, 10-14, 19, and 20.

The Applicant respectfully submits that *Lupien* fails to disclose, teach, or suggest independent Claim 1 limitations regarding a "system for generating a display of at least one side of a market" and in particular *Lupien* fails to disclose, teach, or suggest independent Claim 1 limitations regarding "indicate the calculated offered quantity within the display of the received market data". In particular, the Examiner equates "indicat[ing] the calculated offered quantity" recited in independent claim 1 with "some other statistic relating to the satisfaction values" disclosed in *Lupien*. (11 December 2006 Office Action, Page 4). However, "some other statistic relating to the satisfaction values" disclosed in *Lupien* is merely "available for output to a trader (e.g., minimum, average, medium, most frequently occurring, etc.", and does not include, involve, or even relate to indicat[ing] the calculated offered quantity, as recited in independent

Claim 1. (Column 12, Lines 7-14). In fact, this output, such as, for example, minimum,

average, etc. has nothing to do with a "calculated offered quantity" as recited in

independent Claim 1, and even if it did, which it clearly does not, merely making an

output available to a trader, as disclosed in Lupien, has nothing to do with "indicate the

calculated offered quantity within the display of the received market data", as recited

in independent Claim 1. In contrast, "indicat[ing] the calculated offered quantity"

recited in independent claim 1 is provided for indicating the calculated offered

quantity within the display of the received market data. Thus, the Applicant

respectfully submits that the equations forming the foundation of the Examiner's

comparison between Lupien and independent Claim 1 cannot be made. The Applicant

further respectfully submits that these distinctions alone are sufficient to patentably

distinguish independent Claim 1 from Lupien.

The Office Action Fails to Properly Establish a Prima Facie case of Anticipation over

Lupien

The Applicant respectfully submits that the allegation in the present Office Action

that Lupien discloses all of the claimed features is respectfully traversed. Further, it is

noted that the Office Action provides no concise explanation as to how Lupien is

considered to anticipate all of the limitations in independent Claims 1-6, 10-16, 19, and 20.

A prior art reference anticipates the claimed invention under 35 U.S.C. § 102 only if

each and every element of a claimed invention is identically shown in that single

reference. MPEP § 2131. (Emphasis Added).

The Applicant respectfully points out that "it is incumbent upon the examiner to

identify wherein each and every facet of the claimed invention is disclosed in the applied

reference." Ex parte Levy, 17 U.S.P.Q.2d (BNA) 1461, 1462 (Pat. & Tm. Off. Bd. Pat.

App. & Int. 1990). The Applicant respectfully submits that the Office Action has failed to

establish a prima facie case of anticipation in Claims 1-6, 10-16, 19, and 20 under 35

U.S.C. § 102 with respect to Lupien because Lupien fails to identically disclose each

and every element of the Applicant's claimed invention, arranged as they are in

Applicant's claims.

Response to Office Action Attorney Docket No. 020431.0912 Serial No. 09/970,052 Page 18 of 30 The Applicant's Claims are Patentable over Lupien

With respect to independent Claims 10-14, 19, and 20, these claims include

limitations similar to those discussed above in connection with independent Claim 1.

Thus, independent Claims 10-14, 19, and 20 are considered patentably distinguishable

over Lupien for at least the reasons discussed above in connection with independent

Claim 1.

Furthermore, with respect to dependent Claims 2-6, 15, and 16: Claims 2-6 depend

from independent Claim 1 and dependent Claims 15 and 16 depend from independent

Claim 14 are also considered patentably distinguishable over Lupien. Thus, dependent

Claims 2-6, 15, and 16 are considered to be in condition for allowance for at least the

reason of depending from an allowable claim.

Thus, for at least the reasons set forth herein, the Applicant respectfully submits

that Claims 1-6, 10-16, 19, and 20 are not anticipated by Lupien. The Applicant further

respectfully submits that Claims 1-6, 10-16, 19, and 20 are in condition for allowance.

Thus, the Applicant respectfully request that the rejection of Claims 1-6, 10-16, 19, and 20

under 35 U.S.C. § 102(b) be reconsidered and that Claims 1-6, 10-16, 19, and 20 be

allowed.

THE LEGAL STANDARD FOR ANTICIPATION REJECTIONS UNDER 35 U.S.C. § 102:

The following sets forth the legal standards for "anticipation."

The events that can lead to anticipation can be divided into the following seven

categories, all defined by statute:

1. <u>Prior Knowledge</u>: The invention was publicly known in the United States

before the patentee invented it.

2. Prior Use: The invention was publicly used in the United States either (i)

before the patentee invented it; or (ii) more than one year before he filed his patent

application.

Response to Office Action Attorney Docket No. 020431.0912 Serial No. 09/970,052 Page 19 of 30 3. <u>Prior Publication</u>: The invention was described in a printed publication

anywhere in the world either (i) before the patentee invented it; or (ii) more than one year

before he filed his patent application.

4. <u>Prior Patent</u>: The invention was patented in another patent anywhere in the

world either (i) before the patentee invented it; or (ii) more than one year before he filed his

application.

5. On Sale: The invention was on sale in the United States more than one

year before the patentee filed his application.

6. Prior Invention: The invention was invented by another person in the United

States before the patentee invented it, and that other person did not abandon, suppress or

conceal the invention.

7. Prior U.S. Patent: The invention was described in a patent granted on a

patent application filed in the United States before the patentee made the invention.

Each of those seven events has its own particular requirements, but they all have

the following requirements in common:

1. Anticipation must be shown by clear and convincing evidence.

2. If one prior art reference completely embodies the same process or product

as any claim, the product or process of that claim is anticipated by the prior art, and that

claim is invalid. To decide whether anticipation exists, one must consider each of the

elements recited in the claim and determine whether all of them are found in the particular

item alleged to be anticipating prior art.

3. There is no anticipation unless every one of those elements is found in a

single prior publication, prior public use, prior invention, prior patent, prior knowledge or

prior sale. One may not combine two or more items of prior art to make out an

anticipation. One should, however, take into consideration, not only what is expressly

disclosed or embodied in the particular item of prior art, but also what inherently occurred

in its practice.

4. There cannot be an accidental or unrecognized anticipation. A prior

duplication of the claimed invention that was accidental, or unrecognized, unappreciated,

and incidental to some other purpose is not an invalidating anticipation.

Those four requirements must be kept in mind and applied to each kind of

anticipation in issue. The following additional requirements apply to some categories of

anticipation.

Prior Knowledge: An invention is anticipated if it was known by others in the

United States before it was invented by the patentee. "Known," in this context, means

known to the public. Private knowledge, secret knowledge or knowledge confined to a

small, limited group is not necessarily an invalidating anticipation. Things that were known

to the public only outside the United States are not invalidating anticipation.

2. Prior Use: An invention is anticipated if it was used by others before it was

invented by the patentee, or more than one year before the patentee filed his patent

application. "Use," in this context, means a public use.

3. <u>Prior Publication</u>: A patent is invalid if the invention defined by the Claims

was described in a printed publication before it was invented by the patentee or more than

one year prior to the filing date of his application. For a publication to constitute an

anticipation of an invention, it must be capable, when taken in conjunction with the

knowledge of people of ordinary skill in the art, of placing the invention in the possession

of the reader. The disclosure must be enabling and meaningful. In determining whether

the disclosure is complete, enabling, and meaningful, one should take into account what

would have been within the knowledge of a person of ordinary skill in the art at the time,

and one may consider other publications that shed light on the knowledge such a person

would have had.

4. Prior Patent: If the invention defined by the claims was patented in the

United States or a foreign country, either before it was invented by the inventor or more

than one year before the inventor filed his patent application, then the invention was

anticipated. The effective date for this type of anticipation is the date on which two things

co-existed: (i) the owner of the referenced patent had the right to enforce that patent; and

(ii) the reference patent was available to the public. What was "patented" in the reference

patent is determined by what is defined by its claims, interpreted in the light of the general

description.

5. On Sale: A patent is invalid if the invention claimed in it was on sale in the

United States more than one year prior to the application filing date.

6. Prior Invention: If the invention defined by the claims was invented by

another person, in the United States, before it was invented by the inventor, and that other

person did not abandon, suppress, or conceal the invention, the invention lacks novelty. A

prior invention, even if put in physical form and shown to produce the desired result, is not

an invalidating anticipation unless some steps were taken to make it public. However, it is

not necessary that the inventor had knowledge of that prior invention.

7. Prior U.S. Application: A patent is invalid for lack of novelty if the invention

defined by the claims was described in a United States patent issued on a patent

application filed by another person before the invention was made by the inventor. The

effective date of a prior application for purposes of this issue is the date on which it was

filed in the United States. Foreign-filed patent applications do not apply. If the issued

United States patent claims the benefit of more than one United States application, its

effective date as an anticipation is the filing date of the first United States application that

discloses the invention claimed in that referenced patent.

Experimental Use Exception: The law recognizes that it is beneficial to permit the

inventor the time and opportunity to develop his invention. As such there is an

"experimental use" exception to the "public use" and "on sale" rules. Even though the

invention was publicly used or on sale, more than one year prior to the application filing

date, that does not invalidate the patent, provided the principal purpose was experimenta-

tion rather than commercial benefit. If the primary purpose was experimental, it does not

matter that the public used the invention or that the inventor incidentally derived profit from

it.

When a public use or sale is shown, the burden is on the inventor to come forward

with evidence to support the experimental use exception. Only experimentation by or

under the control of the inventor qualifies for this exception. Experimentation by a third

party, for its own purposes, does not qualify for this exception. Once the invention leaves

the inventor's control, its use is a public one, even if further experimentation takes place.

The experimentation must relate to the claimed features of the invention. And it

must be for the purpose of technological improvement, not commercial exploitation. If any

commercial exploitation does occur, it must be merely incidental to the primary purpose of

experimentation. A test done primarily for marketing, and only incidentally for

technological improvement, is a public use.

REJECTION UNDER 35 U.S.C. § 103(a):

Claims 7-9, 17, and 18 stand rejected under 35 U.S.C. § 103(a) over *Lupien* in view

of Buist.

The Applicant respectfully submits that Lupien or Buist, either individually or in

combination, fail to disclose, teach, or suggest each and every element of Claims 7-9, 17,

and 18. Thus, the Applicant respectfully traverses the Examiners obvious rejection of

Claims 7-9, 17, and 18 under 35 U.S.C. § 103(a) over the proposed combination of Lupien

or *Buist* either individually or in combination.

The Proposed Lupien-Buist Combination Fails to Disclose, Teach, or Suggest

Various Limitations Recited in Applicant's Dependent Claims 7-9, 17, and 18

The Applicant respectfully submits that the Office Action acknowledges, and the

Applicant agrees, that *Lupien* fails to disclose various limitations in dependent Claim 7.

Specifically, the Examiner acknowledges that Lupien fails to disclose "access an

approved market participant list; adjust the heights of one or more offer bars to reflect

one or more market participants being excluded from the approved market participant

list; and calculate the offered quantity available at the specified average price based on

the approved market participant list in addition to the received market data." (12

Response to Office Action Attorney Docket No. 020431.0912 Serial No. 09/970,052 Page 23 of 30 December 2006 Office Action, Page 14). However, the Examiner asserts that the cited

portions of Buist disclose the acknowledged shortcomings in Lupien. The Applicant

respectfully traverses the Examiners assertions regarding the subject matter disclosed

in Buist.

The Applicant further respectfully submits that the Office Action acknowledges,

and the Applicant agrees, that Lupien fails to disclose various limitations in dependent

Claim 8. Specifically, the Examiner acknowledges that *Lupien* fails to disclose "the offer

bars comprise ask bars" and "the approved market participant list comprises an

approved seller list". (12 December 2006 Office Action, Page 16). However, the

Examiner asserts that the cited portions of Buist disclose the acknowledged

shortcomings in Lupien. The Applicant respectfully traverses the Examiners assertions

regarding the subject matter disclosed in Buist.

The Applicant still further respectfully submits that the Office Action

acknowledges, and the Applicant agrees, that *Lupien* fails to disclose various limitations

in dependent Claim 9. Specifically, the Examiner acknowledges that Lupien fails to

disclose that the computer system is further operable to divide each of the offer bars

into one or more bar segments, each bar segment corresponding to a particular offer

from a particular market participant. (12 December 2006 Office Action, Page 16).

However, the Examiner asserts that the cited portions of Buist disclose the

acknowledged shortcomings in Lupien. The Applicant respectfully traverses the

Examiners assertions regarding the subject matter disclosed in *Buist*.

The Applicant yet further respectfully submits that the Office Action

acknowledges, and the Applicant agrees, that Lupien fails to disclose various limitations

in dependent Claim 17. Specifically, the Examiner acknowledges that Lupien fails to

disclose that the computer system is further operable to "calculate a first offered

quantity and a second offered quantity, the first calculated offered quantity comprising a

quantity available at the specified average price independent of the approved market

participant list, the second calculated offered quantity comprising a quantity available at

the specified average price taking into account the approved market participant list, the

first calculated offered quantity being greater than or equal to the second calculated

Response to Office Action Attorney Docket No. 020431.0912 Serial No. 09/970,052 Page 24 of 30 offered quantity; and indicate the first and second calculated offered quantities within

the display of the received market data." (12 December 2006 Office Action, Page 17).

However, the Examiner asserts that the cited portions of Buist disclose the

acknowledged shortcomings in Lupien. The Applicant respectfully traverses the

Examiners assertions regarding the subject matter disclosed in *Buist*.

The Applicant further respectfully submits that the Office Action acknowledges,

and the Applicant agrees, that Lupien fails to disclose various limitations in dependent

Claim 18. Specifically, the Examiner acknowledges that *Lupien* fails to disclose that the

computer system is further operable to receive new market data reflecting one or more

changes in the one or more offers and in response to receiving the new market data,

update the display of the market data. (12 December 2006 Office Action, Page 18).

However, the Examiner asserts that the cited portions of Buist disclose the

acknowledged shortcomings in Lupien. The Applicant respectfully traverses the

Examiners assertions regarding the subject matter disclosed in *Buist*.

The Applicant respectfully submits that Buist fails to disclose, teach, or suggest

the limitations recited in dependent Claims 7-9, 17, and 18. Rather Buist discloses a

system and method to support trading of securities over the Internet.. (Abstract).

However, Buist fails to teach, suggest, or even hint at a "system for generating a

display of at least one side of a market, the displayed side of the market

comprising one or more offers from one or more market participants, each offer

comprising at least an offered price and an offered quantity", as recited in

dependent Claims 7-9, 17, and 18.

The Office Action Fails to Properly Establish a *Prima Facie* case of Obvious over the

Proposed Lupien-Buist Combination

The Applicant respectfully submits that the Office Action has failed to properly

establish a prima facie case of obviousness based on the proposed combination of Lupien

or Buist, either individually or in combination. The Office Action has not shown the

required teaching, suggestion, or motivation in these references or in knowledge generally

available to those of ordinary skill in the art at the time of the invention to combine these

Response to Office Action Attorney Docket No. 020431.0912 Serial No. 09/970,052 Page 25 of 30 references as proposed. The Office Action merely states that "it would be a prima facie obvious to one of ordinary skill in the art at the time the invention was made" to add market participant list" and (1) "adjust the heights of one or more offer bars; (2) "offer bars comprise ask bars"; (3) "the first and second calculated offered quantities features"; and (4) "to add receive new market data reflecting one or more changes in the one or more offers and update display of the market data features to the system of *Lupien*" (11 December 2006 Office Action, Pages 14-18). The Applicant respectfully disagrees.

The Applicant further submits that this purported advantage relied on by the Examiner is nowhere disclosed, taught, or suggested in *Lupien* or *Buist*, either individually or in combination. The Examiner asserts that the motivation to combine the references as proposed would be "because Buist teaches that adding market participant list helps supports an improved human interface and provides faster access to critical information". (11 December 2006 Office Action, Pages 14-18). The Applicant respectfully disagrees and further respectfully requests clarification as to how the Examiner arrives at this conclusion. *The Applicant respectfully requests the examiner to point to the portions of Lupien or Buist which contain the teaching, suggestion, or motivation to combine these references for the for the Examiner's stated purported advantage. The Applicant further respectfully submits that the Examiner is using the subject Application as a template to formulate reconstructive hindsight, which constitutes impermissible use of hindsight under 35 U.S.C. § 103(a).*

A recent Federal Circuit case makes it crystal clear that, in an obviousness situation, the *prior art must disclose each and every element of the claimed invention, and that any motivation to combine or modify the prior art must be based upon a suggestion in the prior art. In re Lee, 61 U.S.P.Q.2d 1430 (Fed. Cir. 2002). (Emphasis Added). Conclusory statements regarding common knowledge and common sense are insufficient to support a finding of obviousness. <i>Id.* at 1434-35. With respect to the subject Application, *the Examiner has not adequately supported the selection and combination of Lupien* and *Buist to render obvious the Applicant's claimed invention*. The Examiner's above-cited conclusory statements *do not adequately address the issue of motivation to combine*. (11 December 2006 Office Action, Pages

14-18). This factual question of motivation is material to patentability, and cannot be

resolved on subjective belief and unknown authority. Id. It is improper, in determining

whether a person of ordinary skill would have been led to this combination of references,

simply to "[use] that which the inventor taught against its teacher." W.L. Gore v. Garlock,

Inc., 721 F.2d 1540 (Fed. Cir. 1983). Thus, the Office Action fails to provide proper

motivation for combining the teachings of Lupien or Buist, either individually or in

combination.

The Applicant Claims are Patentable over the Proposed Lupien-Buist Combination

The Applicant respectfully submits that independent Claims 1, 10-14, 19, and 20

are considered patentably distinguishable over the proposed combination of Lupien and

Buist. This being the case, independent Claims 1, 10-14, 19, and 20 are considered

patentably distinguishable over the proposed combination of Lupien and Buist.

With respect to dependent Claims 7-9, 17, and 18: Claims 7-9 depend from

independent Claim 1 and Claims 17 and 18 depend from independent Claim 14. As

mentioned above, each of independent Claims 1, 10-14, 19, and 20 are considered

patentably distinguishable over Lupien and Buist. Thus, dependent Claims 7-9, 17, and

18 are considered to be in condition for allowance for at least the reason of depending

from an allowable claim.

For at least the reasons set forth herein, the Applicant submits that Claims 7-9, 17,

and 18 are not rendered obvious by the proposed combination of Lupien and Buist. The

Applicant further respectfully submits that Claims 7-9, 17, and 18 are in condition for

allowance. Thus, the Applicant respectfully requests that the rejection of Claims 7-9, 17,

and 18 under 35 U.S.C. § 103(a) be reconsidered and that Claims 7-9, 17, and 18 be

allowed.

Response to Office Action Attorney Docket No. 020431.0912 Serial No. 09/970,052 Page 27 of 30

THE LEGAL STANDARD FOR OBVIOUSNESS REJECTIONS UNDER 35 U.S.C. § 103:

To establish a *prima facie* case of obviousness, three basic criteria must be met. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. The teaching or suggestion to make the claimed combination and the reasonable expectation of success must both be found in the prior art, and not based on applicant's disclosure. In re Vaeck, 947 F.2d 488, 20 U.S.P.Q.2d 1438 (Fed. Cir. 1991); M.P.E.P. § 2142. Moreover, all the claim limitations must be taught or suggested by the prior art. In re Royka, 490 F.2d 981, 180 U.S.P.Q. 580 (CCPA 1974). If an independent claim is nonobvious under 35 U.S.C. § 103, then any claim depending therefrom is nonobvious. In re Fine, 837 F.2d 1071, 5 U.S.P.Q.2d 1596 (Fed. Cir. 1988); M.P.E.P. § 2143.03.

With respect to alleged obviousness, *there must be something in the prior art as a whole to suggest the desirability*, and thus the obviousness, of making the combination. *Panduit Corp. v. Dennison Mfg. Co.*, 810 F.2d 1561 (Fed. Cir. 1986). In fact, the absence of a suggestion to combine is dispositive in an obviousness determination. *Gambro Lundia AB v. Baxter Healthcare Corp.*, 110 F.3d 1573 (Fed. Cir. 1997). The mere fact that the prior art can be combined or modified does not make the resultant combination obvious unless the prior art also suggests the desirability of the combination. *In re Mills*, 916 F.2d 680, 16 U.S.P.Q.2d 1430 (Fed. Cir. 1990); M.P.E.P. § 2143.01. The consistent criterion for determining obviousness is whether the prior art would have suggested to one of ordinary skill in the art that the process should be carried out and would have a reasonable likelihood of success, viewed in the light of the prior art. Both the suggestion and the expectation of success must be founded in the prior art, not in the Applicant's disclosure. *In re Vaeck*, 947 F.2d 488, 20 U.S.P.Q.2d 1438 (Fed. Cir. 1991; *In re O'Farrell*, 853 F.2d 894 (Fed. Cir. 1988); M.P.E.P. § 2142.

A recent Federal Circuit case makes it clear that, in an obviousness situation, the prior art must disclose each and every element of the claimed invention, and that any motivation to combine or modify the prior art must be based upon a suggestion in the prior art. *In re Lee*, 61 U.S.P.Q.2d 1430 (Fed. Cir. 2002). Conclusory statements regarding common knowledge and common sense are insufficient to support a finding of obviousness. *Id.* at 1434-35.

CONCLUSION:

In view of the foregoing amendments and remarks, this application is considered to

be in condition for allowance, and early reconsideration and a Notice of Allowance are

earnestly solicited.

Although the Applicant believes no fees are deemed to be necessary; the

undersigned hereby authorizes the Commissioner to charge any additional fees which

may be required, or credit any overpayments, to **Deposit Account No. 500777**. If an

extension of time is necessary for allowing this Response to be timely filed, this document

is to be construed as also constituting a Petition for Extension of Time Under 37 C.F.R. §

1.136(a) to the extent necessary. Any fee required for such Petition for Extension of Time

should be charged to **Deposit Account No. 500777**.

Please link this application to Customer No. 53184 so that its status may be

checked via the PAIR System.

Respectfully submitted,

12 March 2007

Date

/Steven J. Laureanti/signed

Steven J. Laureanti, Registration No. 50,274

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